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Washington, D.C. 20231

OCTOBER 16, 1996

MCCARTHY & ASSOCIATES, INC.  
BILL D. MCCARTHY  
101 PARK AVENUE - SUITE 250  
OKLAHOMA CITY, OK 73102

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UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 06/06/1996

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
GENHEIMER, STEPHEN R.

DOC DATE: 05/31/1996

ASSIGNOR:  
POTTEBAUM, KENNETH L.

DOC DATE: 05/30/1996

ASSIGNOR:  
BAKER, JON P.

DOC DATE: 05/30/1996

ASSIGNOR:  
STRICKLIN, JOHN D.

DOC DATE: 06/03/1996

ASSIGNEE:  
SEAGATE TECHNOLOGY, INC.  
920 DISC DRIVE  
SCOTTS VALLEY, CALIFORNIA 95067

SERIAL NUMBER: 08659338  
PATENT NUMBER:

FILING DATE: 06/06/1996  
ISSUE DATE:

*Inform filed 10-25-96*

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OCT 25 1996

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JACQUELINE MOORE, EXAMINER  
• ASSIGNMENT DIVISION  
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FORM PTO-1595  
(Rev. 6-93)

07-25-1996

3 SHEET

U.S. DEPARTMENT OF COMMERCE  
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OMB No. 0651-0011 (exp. 4/94)

Tab settings □ □ □ ▼



To the Honorable Commissioner c

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attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Stephen R. Genheimer, Kenneth L. Pottebaum  
Jon P. Baker and John D. Stricklin

## 2. Name and address of receiving party(ies)

Name: Seagate Technology, Inc.

Internal Address: \_\_\_\_\_

Additional name(s) of conveying party(ies) attached? □ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

□ Merger

□ Security Agreement

□ Change of Name

□ Other \_\_\_\_\_

Street Address: Seagate Technology, Inc.

920 Disc Drive

City: Scotts Valley State: CA ZIP: 95067

Execution Date: 5/31/96; 5/30/96; 5/30/96; 6/3/96

Additional name(s) & address(es) attached? □ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 5/3/96; 5/30/96;  
5/30/96; and 6/3/96

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? □ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Bill D. McCarthy

Internal Address: \_\_\_\_\_

Street Address: McCarthy &amp; Associates, Inc.

101 Park Avenue - Suite 250

City: Oklahoma City State: OK ZIP: 73102

6. Total number of applications and patents involved: 

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Enclosed☒ Authorized to be charged to deposit account  
(If additional fees are required)

## 8. Deposit account number:

13-0110

(Attach duplicate copy of this page if paying by deposit account)

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## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Randall K. McCarthy, Reg. No. 39,297

Name of Person Signing

Signature

June 6, 1996

Date

Total number of pages including cover sheet, attachments, and document:

SEAGATE

Docket No. 21843

## ASSIGNMENT

WHEREAS, we, Stephen R. Genheimer, Kenneth L. Pottebaum, Jon P. Baker and John D. Stricklin, are the joint inventors of an invention in MECHANICAL SHOCK PROTECTION FOR A DISC DRIVE, for which we have executed an application for United States Letters Patent on even date herewith; and

WHEREAS, Seagate Technology, Inc., a corporation organized and existing under and by virtue of the laws of the State of Delaware and having a place of business at Scotts Valley, California, is desirous of acquiring the entire right, title and interest in and to said application and said invention, as well as any and all applications for Letters Patents and Inventor's Certificates which may be filed on said invention in any country or countries, as well as any and all Letters Patents and Inventor's Certificates which may be granted on any such application.

NOW, THEREFORE, for valuable consideration, the receipt and adequacy of which is hereby acknowledged, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto Seagate Technology, Inc., its successors and assigns, our entire right, title and interest in, to and under said invention and said application, and any and all Letters Patents which may be granted for said invention and/or on said application, including all extensions, continuations, divisions and reissues thereof, and our right of priority under the International Convention for the Protection of Industrial Property, the Inter-American Convention relating to Inventions, Patents, Designs and Industrial Models, and any other convention, treaty or reciprocal agreement to which the United States is a party concerning priority in inventions, patents, designs, inventor's certificates, industrial property or industrial models, and any and all applications for Letters Patent or Inventor's Certificate which has heretofore or may hereafter be filed on said invention in any country or countries, as well as any and all Letters Patents and Inventor's Certificates which may be granted on any such application, including all extensions, continuations, divisions, reissues and renewals thereof; the same to be held and enjoyed by Seagate Technology, Inc. for its own use and behoof and for the use and behoof of its successors and assigns as fully and entirely as the same would have been held and enjoyed by us had this assignment not been made;

AND we covenant that we have not and will not execute any instrument or take any action contrary to this assignment, nor encumber the title of Seagate Technology, Inc., in, to and under said invention, applications, Letters Patents and Inventor's Certificates, and that we have the right to execute this instrument;

AND we, hereby covenant and agree to keep Seagate Technology, Inc. advised of our current

residence addresses, and we, or my executors, administrators, representatives or assigns, will execute all papers, make all rightful oaths, and do all acts deemed by Seagate Technology, Inc., its successors and assigns, necessary or desirable in any proceeding concerning any of said applications or Letters Patents or Inventor's Certificates, including, but not limited to, proceedings for the procurement or enforcement of Letters Patents for said invention, or for the reissue, renewal or extension thereof, at the expense of Seagate Technology, Inc. but without charge to Seagate Technology, Inc., its successors or assigns.

We hereby request the Commissioner of Patents of the United States, and any official of any country foreign to the United States whose duty is to issue Letters Patents or Inventor's Certificates on applications as aforesaid, to issue Letters Patents or Inventor's Certificates to Seagate Technology, Inc. or its designee in accordance with this instrument.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Dated: 5-31-96

Stephen R. Genheimer  
Stephen R. Genheimer

Dated: 5-30-96

Ken L. Pottebaum  
Kenneth L. Pottebaum

Dated: 5/30/96

Jon P. Baker  
Jon P. Baker

Dated: 6/3/96

John D. Stricklin  
John D. Stricklin

Docket NO: 21843  
Recordation Date: 06/06/96  
Reel/Frame: 8063/EO96